

<b>For Office Use</b>	Account No: _____
	CIF No: _____

## TFSA TRANSFER AUTHORIZATION FORM

- Complete this form only if you are transferring funds from an existing Tax-Free Savings Account (TFSA) at another Financial Institution to ICICI Bank Canada. If you are opening a new TFSA Account, you must also complete a TFSA Application Form.
- For TFSA questions, please call 1-888-424-2422.
- Interest will commence (in accordance with the terms of investment chosen) only upon receipt of the transfer from the other institution. The applicable interest rate will be the interest rate prevailing at that point of time.

\* Mandatory field

<b>PLEASE TELL US ABOUT YOURSELF *</b>			<b>No change in address / contact for existing customer</b>		
Title *	First Name *	Middle Name	Last Name *		Date of Birth * (dd-mm-yyyy)
Home Address * Please fill the address where you presently live. This address cannot be a postal installation i.e. PO Box, RR, Site, Comp or GD/General Delivery address.					Social Insurance Number * (must be completed)
City *		Province *	Postal Code *		
E-mail Address					
Home Phone ⇄		Cell Phone ⇄		⇄ <b>Either Home or Cell Number is mandatory</b>	
Business Phone			Ext.:		Fax Number
Are you a PEP or HIO? ⇄ <a href="#">Click here for the definition of PEP and HIO</a>					
Nature of Profession *		Industry *		Title *	
Primary Business Function * ⇄ <a href="#">Click here to select, copy and paste the Primary Business Function in the field below; if not in the list, please enter below.</a>					

<b>TRANSFER INFORMATION *</b>			
<b>I wish to transfer (Please select one) *:</b> <input type="checkbox"/> All funds in my existing TFSA in cash <b>OR</b> <input type="checkbox"/> \$ _____ from my existing TFSA in cash from the following financial institution:			
Reference / Account Number *		Name of Account *	
Issuer of Account – Financial Institution *			Maturity Date (if applicable) (dd-mm-yyyy)
Street Address of Issuer *		City *	Province *
		Postal Code *	
Please transfer my TFSA to: ICICI Bank Canada, PO BOX 47019, 220 Yonge Street, Toronto, ON M5B 2H0			Existing ICICI Bank Canada TFSA Account Number (if applicable)
Investment Instructions* {	<input type="checkbox"/> <b>TFSA Savings Account</b> <b>OR</b>		
	<input type="checkbox"/> <b>TFSA GIC</b> Maturity: _____ year(s)		
On maturity, the maturity value will be auto-renewed for the same tenor as the original GIC at the prevailing interest rate at that point of time. I authorize ICICI Bank Canada to update my profile/records with the information provided on this form.			
<b>X</b> _____ <b>Applicant's Signature</b>		<b>X</b> _____ <b>Initials</b>	
		_____ <b>Date (dd-mm-yyyy)</b>	

<b>FINANCIAL INSTITUTION USE ONLY</b>		
<b>This section to be completed by the Transferor (other financial institution).</b>		
Amount transferred: \$ _____ from TFSA identified above.		
<b>X</b> _____ <b>Authorized Signature</b>		_____ <b>Position or Office</b>
		_____ <b>Date (dd-mm-yyyy)</b>
<input type="checkbox"/> Please check if institution requires a copy returned.		
<b>This section to be completed by the Transferee (ICICI Bank Canada) upon receipt of the funds.</b>		
We agree to the above for a direct transfer of property in the amount of \$ _____. We have received the property and will credit it to the Applicant under the Plan.		
<b>X</b> _____ <b>Authorized Signature</b>		_____ <b>Position or Office</b>
		_____ <b>Date (dd-mm-yyyy)</b>

## PEP and HIO Definition

**Politically Exposed Person (PEP)** is defined as a person who holds or has held one of the following offices or positions in or on behalf of a foreign or Canadian government:

- head of state or head of government;
- governor general or lieutenant governor;
- member of the executive council of government or member of a legislature;
- deputy minister or equivalent rank;
- ambassador, or attaché or counsellor of an ambassador;
- military officer with a rank of general or above;
- president of a state-owned company or a state-owned bank;
- head of a government agency;
- judge of a supreme court, constitutional court or other court of last resort;
- leader or president of a political party represented in a legislature; and
- mayor

**Head of an International Organization (HIO)** is a person who is either the head of:

1. an international organization established by the governments of states; or
2. of an institution established by an international organization.

An international organization is an organization set up by the governments of more than one country. Once a person is no longer the head of an international organization or the head of an institution established by an international organization, that person is no longer a HIO.

**Family members** – certain family members (listed below) of a PEP or a HIO are also considered as PEPs and HIOs.

- their spouse or common-law partner;
- their child;
- their mother or father;
- the mother or father of their spouse or common-law partner; and
- a child of their mother or father (sibling).

**Close associate** – a close associate can be an individual who is closely connected to a PEP or HIO for personal or business reasons and which includes:

- business partners with, or who beneficially owns or controls a business with, a PEP or HIO;
- in a romantic relationship with a PEP or HIO, such as a boyfriend, girlfriend or mistress;
- involved in financial transactions with a PEP or a HIO;
- a prominent member of the same political party or union as a PEP or HIO;
- serving as a member of the same board as a PEP or HIO; or
- closely carrying out charitable works with a PEP or HIO.

If you or a family member or a close associate of yours holds any of the prescribed positions then please select:

- a) **“Foreign”** – if the position is ever held in a foreign government office.
- b) **“Domestic”** – if the position is held in a Canadian (federal, provincial, territorial) government office in last 5 years.
- c) **“HIO”** – if the position of head of international organization is held currently.

Else please select **“Not Applicable”**

## INSTRUCTIONS:

1. **Locate the Primary Business Function below and place the cursor at the beginning of the Primary Business Function**
2. **Highlight and copy the Primary Business Function**
3. **Click on the button “Return to Application” on the right side of this page**
4. **Once back in the Application, place the cursor on the Primary Business Function field and paste it**

## LIST OF PRIMARY BUSINESS FUNCTIONS FOR EACH INDUSTRY

### Accounting, Tax, Legal Service

Bookkeeping, Payroll Services  
Legal services  
Offices of accountants  
Offices of Lawyers and Notary  
Tax preparation services

### Advertising and media services

Advertising services  
Environmental Consulting Service  
Marketing Research  
Public Opinion Polling  
Media Agency & Representative  
Photographic services  
Public relations services

### Animal Production

Animal Farming and Production

### Architectural/Engineering Service

Architectural services  
Building inspection services  
Drafting services  
Engineering services  
Geophysical Surveying  
Geophysical Mapping Services  
Industrial design services  
Interior design services  
Landscape Architectural Service  
Specialized Design Services  
Surveying (except geophysical)  
Mapping (except geophysical)

### Arms and Ammunition

Explosives manufacturing

### Art Dealers

Art Dealers

### Not For Profit Org/Association

Business associations  
Civic and social organizations  
Labour organizations  
Other membership organizations  
Political organizations  
Professional organizations  
Social advocacy organizations

### Automobile dealers

Motorcycle, Boat Dealers  
Other Motor Vehicle Dealers

### Automobile dealers

New car dealers  
Recreational vehicle dealers  
Used car dealers

### Automotive/Equipment rent/lease

Construction Equipment Rent/Lease  
Transportation Equipment Rent/Lease  
Mining Equipment Rent/Lease  
Forestry Equipment Rent/Lease  
Consumer Electronic/Appliance Rent  
Consumer goods rental  
General rental centres  
Office Machinery Rent/Lease  
Office Equipment Rent/Lease  
Commercial Equipment Rent/Lease  
Industrial Equipment Rent/Lease  
Passenger Car Rent/Lease  
Truck Rent/Lease  
Utility Trailer Rent/Lease  
RV Rent/Lease

### Building material/supplies dealer

Building Material Supply Store  
Hardware stores  
Home centres  
Nursery Store & Garden Centre  
Outdoor power equipment stores  
Paint and wallpaper stores

### Business support services

Business service centres  
Collection agencies  
Convention/Trade Show Organizer  
Credit bureaus  
Document preparation services  
Office administrative services  
Other Business Support Service  
Packaging & Labelling Service  
Telephone call centres

### Cannabis Related Activity

Cannabis Farming  
Cannabis Product Manufacturing  
Cannabis Store

### Casinos and gambling

Casinos (except casino hotels)  
Lotteries  
Other gambling industries

### Computer systems design service

Computer Systems Design  
Computer related Services  
Data processing/Hosting  
Data Related Services  
Graphic design Services  
Video Game Design/Development

### Construction

Building equipment Contractors  
Highway/Street/Bridge  
Construction  
Land subdivision  
Residential Building Construction  
Commercial Building Construction  
Industrial Building Construction  
Specialty trade Contractors

### Consulting services

Human Resource Consulting  
Immigration Consulting Service  
IT consulting Services  
Management Consulting Services  
Other consulting Services  
Professional Services  
Scientific Services  
Technical Services

### Dry Cleaning/Laundry Services

Dry Cleaning/Laundry Services

### Educational services

Other Schools/Instruction  
Athletic Instruction  
Business & Secretarial Schools  
Community College & C.E.G.E.P.s  
Computer Training  
Educational Support Services  
Elementary & Secondary Schools  
Fine Arts Schools  
Language Schools  
Professional/Mgmt Training School  
Technical and Trade Schools  
Universities

### Employment services

Employment Placement Agencies  
Executive Search Services

### Employment services

Manpower Service Providers  
Professional Employer  
Organization

### Facilities support services

Services to Building/Dwelling  
Carpet and Upholstery Cleaning  
Duct/Chimney Cleaning Service  
Exterminating/Pest Control Service  
Facilities support Services  
Janitorial Services  
Landscaping Services  
Window cleaning Services

### Farming and crop production

Farming and Crop Production

### Fin Services - Brokers/agents

Financial Investment Activity  
Claims Adjusters  
Commodity Contract Dealing  
Insurance Agency and Brokerage  
Invest. Banking/Securities Dealing  
Investment consulting  
Miscellaneous intermediation  
Mortgage/Non-mortgage Loan Broker  
Credit Intermediation Activity Portfolio  
management  
Securities brokerage

### Fin Ser - Pension/Other Funds

All Other funds  
Bond and income funds  
Equity funds  
Money market funds  
Mortgage funds  
Pension funds

### Financial Services - Regulated

Corporate Banking Industry  
Institutional Banking Industry  
Credit card issuing  
Credit unions  
Insurance carriers

