



Office Use Only

Branch Name and SOL ID: _____

Business Group: _____

NEW BUSINESS ACCOUNT REQUEST FORM

(Instructions: Complete the form if you are not an existing client of ICICI Bank Canada)

* Mandatory field

SECTION A BUSINESS NAME AND REGISTRATION	
Legal Name of the Business	Business Structure
Trade Name (attach Trade Name registration)	_____
Incorporation / Registration Number	Type of Registration *
Incorporation / Registration Date (dd-mm-yyyy)	Province of Registration *

SECTION B BUSINESS ADDRESS <small>Actual place from where the business is operating.</small>			
Street Address * The Business address cannot be a postal installation (i.e. PO Box, RR, Site, Comp or GD/General Delivery address).			
City *	Province *	Postal Code *	Country *
Business Phone Number \diamond Ext.:	Cell Number \diamond	Fax Number	Email Address
\diamond Either Business phone Number or Cell Number is mandatory			
Communication Address (if different from above) *			
City *	Province *	Postal Code *	Country *
Office Use	Reason Why Mailing Address is different from Business Address:		

SECTION C BUSINESS DETAILS	
Industry * (Mention the Industry from the client risk calculator)	BN – Federal Business Number
Primary Business function* (Mention the primary business function from the client risk calculator)	
1. Are you a pension fund or a public body? * <input type="checkbox"/> No <input type="checkbox"/> Yes \Rightarrow If Yes, detail: _____	2. Is your business listed on a stock exchange? * <input type="checkbox"/> No <input type="checkbox"/> Yes \Rightarrow If Yes, name of stock exchange: _____
3. Does your business solicit donations, financial or in kind? * <input type="checkbox"/> No <input type="checkbox"/> Yes	4. Is your business a registered charity? * <input type="checkbox"/> No <input type="checkbox"/> Yes \Rightarrow If Yes, CRA registration number: _____
5. Has your business declared bankruptcy in the past? * <input type="checkbox"/> No <input type="checkbox"/> Yes	6. Is your business a money service provider? * <input type="checkbox"/> No <input type="checkbox"/> Yes
7. Is your business a Non-for-profit Corporation / Organization? * <input type="checkbox"/> No <input type="checkbox"/> Yes	8. Are you a Franchise business? * <input type="checkbox"/> No <input type="checkbox"/> Yes \Rightarrow If Yes, name of franchisor: _____
9. Number of employees in Business: * _____	10. Number of years owners have experience in the business: * _____
11. Products and Services offered by your business: * _____	12. Does your business have retail stores? * <input type="checkbox"/> No <input type="checkbox"/> Yes \Rightarrow If Yes, number of stores: _____
13. Details of source of initial funds to set up the business: * _____	14. Your annual revenue: * \$ _____
15. Basis of annual revenue (✓ applicable): * <input type="checkbox"/> Projected <input type="checkbox"/> Latest Financial Statement	16. Name of your current Bank and/or Financial Institution: * <input type="checkbox"/> Not applicable _____

SECTION C BUSINESS DETAILS (Cont'd)

17. Ownership status of place of business:*

<input type="checkbox"/> Independent office:	<input type="checkbox"/> Rented	<input type="checkbox"/> Leased	<input type="checkbox"/> Owned
<input type="checkbox"/> Work from home:	<input type="checkbox"/> Rented	<input type="checkbox"/> Leased	<input type="checkbox"/> Owned
<input type="checkbox"/> Located in mall / strip mall:	<input type="checkbox"/> Rented	<input type="checkbox"/> Leased	<input type="checkbox"/> Owned
<input type="checkbox"/> Others (please specify): _____			

(like daily office space / workstation rentals, etc.)

SECTION D ACCOUNT SELECTION AND PROPOSED ACCOUNT ACTIVITY

You may choose more than one option:

ACCOUNT TYPE	PURPOSE OF ACCOUNT
1. <input type="checkbox"/> C\$ Business Savings Account	_____
2. <input type="checkbox"/> C\$ Business Chequing Premium	_____
3. <input type="checkbox"/> C\$ Business Chequing Elite	_____
4. <input type="checkbox"/> US\$ Business Savings Account	_____
5. <input type="checkbox"/> US\$ Business Chequing Premium	_____
6. <input type="checkbox"/> US\$ Business Chequing Elite	_____
7. <input type="checkbox"/> Business GIC CAD	_____
Interest Payout	> Amount: \$ _____ Interest Rate: _____ % Tenor: _____
8. <input type="checkbox"/> Business Term Deposits USD	_____
Interest Payout	> Amount: \$ _____ Interest Rate: _____ % Tenor: _____
9. <input type="checkbox"/> Redeemable Business GIC (Short Term)	_____
(Canadian Dollar, 7 days to 364 days)	> Amount: \$ _____ Interest Rate: _____ % Tenor: _____ (Days)
10. <input type="checkbox"/> Redeemable Business Term Deposits (Short Term)	_____
(US Dollar, 7 days to 364 days)	> Amount: \$ _____ Interest Rate: _____ % Tenor: _____ (Days)

NOTICE OF REDEMPTION (applicable for GIC/Term Deposit for less than 1 year tenor and above \$ 4,999,999.00): _____ (Days)

NOTE: If you are requesting more than one account in each Account Type, complete one more Additional Account Opening Form.

Maturity Instructions* <i>(Select any one)</i>	<input type="checkbox"/> Auto Reinvest for Months: _____ Years: _____ (Note: Auto Reinvest option not available for CAD Short Term GIC and USD Short Term Deposit)
	<input type="checkbox"/> Credit Principal plus Interest to Account No. _____
	<input type="checkbox"/> Issue Bank Draft and send to the mailing address of the business.

Monthly deposit transactions: *	Amount: \$ _____
Frequency of deposits: *	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly
Method of deposit:*	<input type="checkbox"/> Cash <input type="checkbox"/> Cheque <input type="checkbox"/> Electronic <input type="checkbox"/> Other: _____
Monthly cash deposit: *	Amount: \$ _____
Monthly Withdrawal Transactions: *	Amount: \$ _____
Method of withdrawal: *	<input type="checkbox"/> Electronic transfer <input type="checkbox"/> Cash <input type="checkbox"/> Cheque / Demand Drafts

International Funds Transfers		Not Applicable
a.	Purpose of international funds transfer:	<input type="checkbox"/> Import/Export <input type="checkbox"/> Import <input type="checkbox"/> Export <input type="checkbox"/> Other (specify): _____
b.	Frequency of transfers:	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Annually
c.	Average value of monthly incoming transfers: \$ _____	Remitter countries for Inward Transfers: _____
d.	Average value of monthly outgoing transfers: \$ _____	Beneficiary countries for Outward Transfers: _____

SECTION D ACCOUNT SELECTION AND PROPOSED ACCOUNT ACTIVITY (Cont'd)

Will this account be used by, or on behalf of, other party who is not an authorized signor in this application? *

No Yes ⇒ If Yes, please complete the following section.

Name of Other Party				Date of Birth (dd-mm-yyyy)	
If Other Party is a Corporation , provide the following information:	Incorporation Number	Jurisdiction of Issue	Nature of Relationship between Client and Other Party		
Address of Other Party	City	Province	Postal Code	Country	
Occupation type of business of Other Party			Relationship to the Other Party		

SECTION E AUTHORIZED INDIVIDUAL(S)

The Authorized Individuals identified in this section will be the only individuals who can transact business on this account. Please note that all applicants must complete this section for each person named as Authorized Individual. In case of Sole Proprietorship businesses, only the Sole Proprietor is permitted to be an Authorized Individual on this account. ICICI Bank Canada will act upon instructions of any of the Authorized persons in the account according to the instructions provided in Section G.

1.	<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss <input type="checkbox"/> Dr.				Date of Birth * (dd-mm-yyyy)	
First Name *		Middle Name		Last Name *		
Address * Please fill the address where you presently live. This address cannot be a postal installation i.e. PO Box, RR, Site, Comp or GD/General Delivery address.						
City *		Province *		Postal Code *	Country *	
Home Phone ⇄	Business Phone	Ext.:	Cell Phone ⇄	Email Address *		
⇄ Either Home Phone Number or Cell Number is mandatory						
Nature of Profession *		Industry *		Title *		
Are you a PEP or a HIO? * (refer to Attachment 2 on page 14 for PEP Definition): _____						
Are you an existing client of ICICI Bank Canada? * (✓ as applicable) <input type="checkbox"/> No <input type="checkbox"/> Yes ⇒ If Yes, CIF number: _____						
The ICICI Bank Group (which includes ICICI Bank Canada and its global affiliates) is required to obtain your consent to send you electronic communications about product offers and promotions. We may, from time to time, also send you electronic communications about third party offers and promotions. Please confirm that the ICICI Bank Group may send you these electronic messages. You can withdraw your consent at any time.						
<input type="checkbox"/> Yes, I wish to receive electronic messages from the ICICI Bank Group regarding promotional offers and services at the e-mail address provided.						
Bank's Phone: 1-888-424-2422 -- Mailing Address: ICICI Bank Canada, P O Box 396, Don Mills, ON M3C 2S7 Canada						

2.	<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss <input type="checkbox"/> Dr.				Date of Birth * (dd-mm-yyyy)	
First Name *		Middle Name		Last Name *		
Address * Please fill the address where you presently live. This address cannot be a postal installation i.e. PO Box, RR, Site, Comp or GD/General Delivery address.						
City *		Province *		Postal Code *	Country *	
Home Phone ⇄	Business Phone	Ext.:	Cell Phone ⇄	Email Address *		
⇄ Either Home Phone Number or Cell Number is mandatory						
Nature of Profession *		Industry *		Title *		
Are you a PEP or a HIO? * (refer to Attachment 2 on page 14 for PEP Definition): _____						
Are you an existing client of ICICI Bank Canada? * (✓ as applicable) <input type="checkbox"/> No <input type="checkbox"/> Yes ⇒ If Yes, CIF number: _____						
The ICICI Bank Group (which includes ICICI Bank Canada and its global affiliates) is required to obtain your consent to send you electronic communications about product offers and promotions. We may, from time to time, also send you electronic communications about third party offers and promotions. Please confirm that the ICICI Bank Group may send you these electronic messages. You can withdraw your consent at any time.						
<input type="checkbox"/> Yes, I wish to receive electronic messages from the ICICI Bank Group regarding promotional offers and services at the e-mail address provided.						
Bank's Phone: 1-888-424-2422 -- Mailing Address: ICICI Bank Canada, P O Box 396, Don Mills, ON M3C 2S7 Canada						

SECTION E AUTHORIZED INDIVIDUAL(S) (Cont'd)

3.	<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss <input type="checkbox"/> Dr.				Date of Birth * (dd-mm-yyyy)	
	First Name *		Middle Name		Last Name *	
	Address * Please fill the address where you presently live. This address cannot be a postal installation i.e. PO Box, RR, Site, Comp or GD/General Delivery address.					
	City *		Province *		Postal Code *	Country *
	Home Phone ⇨	Business Phone	Ext.:	Cell Phone ⇨	Email Address *	
	⇨ Either Home Phone Number or Cell Number is mandatory					
	Nature of Profession *		Industry *		Title *	
	Are you a PEP or a HIO? * (refer to Attachment 2 on page 14 for PEP Definition): _____					
	Are you an existing client of ICICI Bank Canada? * (✓ as applicable) <input type="checkbox"/> No <input type="checkbox"/> Yes ⇨ If Yes, CIF number: _____					
	<p>The ICICI Bank Group (which includes ICICI Bank Canada and its global affiliates) is required to obtain your consent to send you electronic communications about product offers and promotions. We may, from time to time, also send you electronic communications about third party offers and promotions. Please confirm that the ICICI Bank Group may send you these electronic messages. You can withdraw your consent at any time.</p> <p>Yes, I wish to receive electronic messages from the ICICI Bank Group regarding promotional offers and services at the e-mail address provided.</p> <p>Bank's Phone: 1-888-424-2422 -- Mailing Address: ICICI Bank Canada, P O Box 396, Don Mills, ON M3C 2S7 Canada</p>					

4.	<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss <input type="checkbox"/> Dr.				Date of Birth * (dd-mm-yyyy)	
	First Name *		Middle Name		Last Name *	
	Address * Please fill the address where you presently live. This address cannot be a postal installation i.e. PO Box, RR, Site, Comp or GD/General Delivery address.					
	City *		Province *		Postal Code *	Country *
	Home Phone ⇨	Business Phone	Ext.:	Cell Phone ⇨	Email Address *	
	⇨ Either Home Phone Number or Cell Number is mandatory					
	Nature of Profession *		Industry *		Title *	
	Are you a PEP or a HIO? * (refer to Attachment 2 on page 14 for PEP Definition): _____					
	Are you an existing client of ICICI Bank Canada? * (✓ as applicable) <input type="checkbox"/> No <input type="checkbox"/> Yes ⇨ If Yes, CIF number: _____					
	<p>The ICICI Bank Group (which includes ICICI Bank Canada and its global affiliates) is required to obtain your consent to send you electronic communications about product offers and promotions. We may, from time to time, also send you electronic communications about third party offers and promotions. Please confirm that the ICICI Bank Group may send you these electronic messages. You can withdraw your consent at any time.</p> <p>Yes, I wish to receive electronic messages from the ICICI Bank Group regarding promotional offers and services at the e-mail address provided.</p> <p>Bank's Phone: 1-888-424-2422 -- Mailing Address: ICICI Bank Canada, P O Box 396, Don Mills, ON M3C 2S7 Canada</p>					

SECTION F MODE OF OPERATION OF ACCOUNTS *

Select Level of approvals required from authorized individuals required to transact business on this account (✓ select one):

 Anyone solely All authorized individuals At Least _____ Authorized Individuals

Special Instructions:

SECTION G **AGREEMENT AND CONSENT ***

In consideration of ICICI Bank Canada ("the Bank") dealing or continuing to deal with you in connection with your Banking business with the Bank, you agree with the Bank as set out in this agreement

You also acknowledge having received, read and understood a copy of the Terms and Conditions, Disclosure Statement for fees and charges associated with Business Accounts, the Cardholders agreement for Business Debit Cards and you agree to be bound by the terms of such documents and any changes to or replacements of it made by the Bank from time to time.

You hereby confirm that all necessary corporate, regulatory or other actions and authorizations (including, without limitation, passing of necessary resolutions) as may be required for the purpose of opening and operating the account(s) sought to be opened pursuant to this Application as well as for establishing its banking in accordance with the Terms have been duly obtained are in full force and effect. You hereby consent to the Bank collecting, using and disclosing personal information for the purpose identified in the Bank's Privacy Policy, which you have received, read and understood. You hereby represent that all of the information in this Application is true and complete and agree that it can be relied on by the Bank. You authorize the Bank to send account statements through Email to the address mentioned in the application form and as defined in the Account Terms & Conditions of the Bank.

You acknowledge and consent both individually and on behalf of the business to the following:

The Bank may collect information (as defined below) during the course of your relationship with the Bank from credit bureaus, other financial institution business bureau, rating agencies and references you provide to the Bank. The Bank may also disclose information to credit bureaus and financial institutions. (The word "information" means financial and non-financial information about you, in business or personal capacity, including information to identify you or qualify you and/or the business for product and services or information that the Bank needs for regulatory requirement.)

Monthly Account Statement Option (See **Note** below): _____

Note: Email statement or physical statement would be sent as per address and Email available in Section B. Physical statement are chargeable, check current disclosure.

The ICICI Bank Group (which includes ICICI Bank Canada and its global affiliates) is required to obtain your consent to send you electronic communications about product offers and promotions. We may, from time to time, also send you electronic communications about third party offers and promotions. Please confirm that the ICICI Bank Group may send you these electronic messages. You can withdraw your consent at any time.

Yes, I wish to receive electronic messages from the ICICI Bank Group regarding promotional offers and services at the e-mail address provided.

Bank's Phone: 1-888-424-2422 -- Mailing Address: ICICI Bank Canada, P O Box 396, Don Mills, ON M3C 2S7 Canada

Executed on Behalf of _____

by the following Authorized Individual of the Applicant:

_____	X	_____
1. Authorized Individual First and Last Name	Signature	Date (dd-mm-yyyy)

_____	X	_____
2. Authorized Individual First and Last Name	Signature	Date (dd-mm-yyyy)

_____	X	_____
3. Authorized Individual First and Last Name	Signature	Date (dd-mm-yyyy)

_____	X	_____
4. Authorized Individual First and Last Name	Signature	Date (dd-mm-yyyy)

Authorized Individual # 1

First Name

Middle Name

Last Name

Signature

X _____

Authorized Individual # 2

First Name

Middle Name

Last Name

Signature

X _____

Authorized Individual # 3

First Name

Middle Name

Last Name

Signature

X _____

Authorized Individual # 4

First Name

Middle Name

Last Name

Signature

X _____

Resolution of the Board of Directors of _____ (the "Business") dated (dd-mm-yyyy) _____.

The Business resolves that:

1. The Business appoints ICICI Bank Canada ("ICICI Bank") as the bank of the Business.
2. The following individuals (the "Authorized Individuals") shall be authorized to transact business on the accounts of the Business and ICICI Bank shall be entitled to rely on the instructions of the Authorized Individuals as per details below:

a.	First Name	Last Name
	Mode of operation	Online / Internet Banking access
	Is this authorized signatory allowed to operate the Business Debit Card on behalf of the entity? No Yes Please note: Business debit cards can only be issued in connection with those accounts that are NOT jointly operated.	
b.	First Name	Last Name
	Mode of operation	Online / Internet Banking access
	Is this authorized signatory allowed to operate the Business Debit Card on behalf of the entity? No Yes Please note: Business debit cards can only be issued in connection with those accounts that are NOT jointly operated.	
c.	First Name	Last Name
	Mode of operation	Online / Internet Banking access
	Is this authorized signatory allowed to operate the Business Debit Card on behalf of the entity? No Yes Please note: Business debit cards can only be issued in connection with those accounts that are NOT jointly operated.	
d.	First Name	Last Name
	Mode of operation	Online / Internet Banking access
	Is this authorized signatory allowed to operate the Business Debit Card on behalf of the entity? No Yes Please note: Business debit cards can only be issued in connection with those accounts that are NOT jointly operated.	

3. The Business hereby, from time to time, authorizes any Authorized Individual(s) of the Business to do any of the following:
 - (a) deposit, make payments, withdraw or order transfer of funds from the Business' bank account by means made available by ICICI Bank from time to time;
 - (b) execute and deliver any agreements or other documents or instruments with or in favour of ICICI Bank, or give any authority to ICICI Bank required in connection with the banking business of the Business whether generally or with regard to any particular transaction instrument or agreement;
 - (c) receive statements, instruments and other items and documents relating to the accounts of the Business with or any service of ICICI Bank and to settle and certify the accounts with ICICI Bank;
 - (d) receive from ICICI Bank any software and any security devices, including security cards, codes, personal identification numbers and passwords relating to electronic communications or communications by telephone between the Business and ICICI Bank; and
 - (e) generally to exercise all rights, powers and authorities which the Business may exercise under the authority of the constitution documents and by-laws of the Business and the laws and regulations relating to or affecting the Business.

The Undersigned, being Director of _____ (name of the business) hereby certifies on behalf of the Firm that the foregoing is a true copy of a resolution properly passed by the members of the Firm approved on _____ (dd-mm-yyyy) and that the said resolution is in full force and effect unamended as of the date hereof.

Name

Name

X _____
Signature

X _____
Signature

FOR OFFICE USE - COMPLETE AND SIGN THE FOLLOWING

a) Business Accounts Application Form

b) A copy of two (2) pieces of Identification (photocopied front and back to clearly identify the signature) for each Authorized Individual, ensuring that one piece is from List A below.

PRIMARY AND SECONDARY IDS PRESENTED (ID Document Name and Number): *

Authorized Individual # 1: _____
First Name *Middle Name* *Last Name*

Primary ID: _____
 ID Number: _____ Expiry Date (dd-mm-yyyy): _____
 Issuing Jurisdiction: _____ Date of ID Verification (dd-mm-yyyy): _____
 Other Issuing Jurisdiction (specify the Other Issuing Jurisdiction if not listed in the drop-down menu above): _____

Secondary ID: _____
 ID Number: _____ Expiry Date (dd-mm-yyyy): _____
 Issuing Jurisdiction: _____ Date of ID Verification (dd-mm-yyyy): _____
 Other Issuing Jurisdiction (specify the Other Issuing Jurisdiction if not listed in the drop-down menu above): _____

Authorized Individual # 2: _____
First Name *Middle Name* *Last Name*

Primary ID: _____
 ID Number: _____ Expiry Date (dd-mm-yyyy): _____
 Issuing Jurisdiction: _____ Date of ID Verification (dd-mm-yyyy): _____
 Other Issuing Jurisdiction (specify the Other Issuing Jurisdiction if not listed in the drop-down menu above): _____

Secondary ID: _____
 ID Number: _____ Expiry Date (dd-mm-yyyy): _____
 Issuing Jurisdiction: _____ Date of ID Verification (dd-mm-yyyy): _____
 Other Issuing Jurisdiction (specify the Other Issuing Jurisdiction if not listed in the drop-down menu above): _____

Authorized Individual # 3: _____
First Name *Middle Name* *Last Name*

Primary ID: _____
 ID Number: _____ Expiry Date (dd-mm-yyyy): _____
 Issuing Jurisdiction: _____ Date of ID Verification (dd-mm-yyyy): _____
 Other Issuing Jurisdiction (specify the Other Issuing jurisdiction if not listed in the drop-down menu above): _____

Secondary ID: _____
 ID Number: _____ Expiry Date (dd-mm-yyyy): _____
 Issuing Jurisdiction: _____ Date of ID Verification (dd-mm-yyyy): _____
 Other Issuing Jurisdiction (specify the Other Issuing Jurisdiction if not listed in the drop-down menu above): _____

Authorized Individual # 4: _____
First Name *Middle Name* *Last Name*

Primary ID: _____
 ID Number: _____ Expiry Date (dd-mm-yyyy): _____
 Issuing Jurisdiction: _____ Date of ID Verification (dd-mm-yyyy): _____
 Other Issuing Jurisdiction (specify the Other Issuing Jurisdiction if not listed in the drop-down menu above): _____

Secondary ID: _____
 ID Number: _____ Expiry Date (dd-mm-yyyy): _____
 Issuing Jurisdiction: _____ Date of ID Verification (dd-mm-yyyy): _____
 Other Issuing Jurisdiction (specify the Other Issuing Jurisdiction if not listed in the drop-down menu above): _____

Prepared by: *	_____	_____	X	_____	_____
	Maker Employee ID	Maker Employee Name		Maker Employee Signature	Date (dd-mm-yyyy)

Verified by: *	_____	_____	X	_____	_____
	Verifier Employee ID	Verifier Employee Name		Verifier Employee Signature	Date (dd-mm-yyyy)

EXTERNAL ACCOUNT LINKING REQUEST

To: ICICI Bank Canada
 150, Ferrand Dr, Suite 1200
 Toronto, ON M3C 3E5

Re: **Linking of external account to Business Chequing Plus Account**

Link new / existing Business Chequing Plus account number _____ for internet banking transactions to following external Chequing accounts:

Details of existing accounts to be linked:

1	Name of Bank/FI and Institution number	Transit / Branch ID	Account Number
	Currency of Account: <input type="checkbox"/> CAD <input type="checkbox"/> USD	Document enclosed: <input type="checkbox"/> Pre-printed cheque ¹ <input type="checkbox"/> Account Statement ²	
2	Name of Bank/FI and Institution number	Transit / Branch ID	Account Number
	Currency of Account: <input type="checkbox"/> CAD <input type="checkbox"/> USD	Document enclosed: <input type="checkbox"/> Pre-printed cheque ¹ <input type="checkbox"/> Account Statement ²	
3	Name of Bank/FI and Institution number	Transit / Branch ID	Account Number
	Currency of Account: <input type="checkbox"/> CAD <input type="checkbox"/> USD	Document enclosed: <input type="checkbox"/> Pre-printed cheque ¹ <input type="checkbox"/> Account Statement ²	
4	Name of Bank/FI and Institution number	Transit / Branch ID	Account Number
	Currency of Account: <input type="checkbox"/> CAD <input type="checkbox"/> USD	Document enclosed: <input type="checkbox"/> Pre-printed cheque ¹ <input type="checkbox"/> Account Statement ²	

Executed on behalf of _____ (Business Name)

X _____	_____	_____
Signature	Name	Date (dd-mm-yyyy)
X _____	_____	_____
Signature	Name	Date (dd-mm-yyyy)
X _____	_____	_____
Signature	Name	Date (dd-mm-yyyy)
X _____	_____	_____
Signature	Name	Date (dd-mm-yyyy)

¹ The pre-printed cheque should have MICR coding, transit/ branch ID, Bank/ Financial Institution ID, Account number, currency of account and complete name of the business as per the account name. The cheque should be of minimum value of \$100. The cheque would be deposited to new / existing business chequing plus account of the business for purpose of linking.

² The account statement should be signed and stamped by the Bank/ Institution. The Bank statement should be most recent. The Bank statement should contain complete name of the business, Transit /Branch ID, Bank/ Institution ID, currency of account and account number.

TERMS & CONDITIONS (For GIC and Term Deposit)

Early Redemption

- Redeemable Business GIC (Canadian Dollar, 1 year to 5 years): Early redemption is available only on GICs with terms of 1 year and above. Interest is paid only if early redemption is made at least 6 months after opening the GIC**. No interest is paid for early redemption on GIC if the redemption is made within 6 months of opening the GIC.
- Redeemable Business Term Deposit (US Dollar, 1 year to 5 years): Early redemption is available only on Term Deposits with terms of 1 year and above. Interest is paid only if early redemption is made at least 6 months after opening the deposit**. No interest is paid for early redemption on Term Deposits with tenure of 1 year and above if the redemption is made within 6 months of opening the GIC.
- Redeemable Business GIC (Canadian Dollar, 7 days to 364 days): Early redemption is available. Interest at a rate of 0.05% per annum is payable on early redemption of GIC. No interest is paid for early redemption on the GIC, if the redemption is made within 29 days of opening the GIC.
- Redeemable Business Term Deposits (US Dollar, 7 days to 364 days): Early redemption is available. No interest is paid for early redemption on Redeemable Business Term Deposits (US dollar) for term less than 1 year.
- Non-Redeemable Business GIC/Term Deposit (CAD/USD, 1 Month to 60 Months): Early redemption is not available. However, in exceptional circumstances, the bank may, in its discretion, grant early redemption. If it does, no interest will be paid. Refer to Disclosure Statement of Fee and Charges for Business Accounts available on our website icicibank.ca, for any fee and charges applicable on early redemption.
- Notice of redemption: A notice of redemption is required for all GIC and term deposit above \$ 4,999,000, in US Dollar or CAD dollars and term less than one year. The number of days of notice would be confirmed at the time of application for the said GIC / term deposit.

Interest Calculation

- All GICs and Term Deposits: Interest is compounded annually and paid as per the product feature.
- The annual rate of interest is fixed over the tenure of the GIC and Term Deposit.

CDIC

The GICs are eligible for deposit insurance from the Canada Deposit Insurance Corporation ("CDIC"), subject always to coverage limitations as outlined by CDIC.

For further information, please contact CDIC at 1-800-461-CDIC (2342) or visit their website at <http://www.cdic.ca>

Auto Invest / Renewals (Auto renewal option is not available for GIC/Term deposit of tenor less than 1 year)

If Auto Invest / Renewal has been chosen:

- a) The renewed GIC or Term Deposit may be issued to you upon the maturity of the present GIC/Term Deposit without a further agreement being entered into;
- b) The renewed GIC/Term Deposit will have a fixed interest rate. This rate will be the most recent rate for the applicable deposit published on the Bank's website at www.icicibank.ca at the time of renewal;
- c) The renewed GIC/Term Deposit will have the same Maturity Period as the initial GIC/Term Deposit; and
- d) If the GIC/Term Deposit has been auto renewed and you wish to cancel the deposit, you may do so within a period of 10 business days from the date of renewal. No charges will be applicable for cancellation within this period.

* If Auto Invest / Renewal check box under Maturity Instructions is left blank, the GIC will not be automatically renewed at Account Maturity Date.

** Please refer to our current Disclosure Statement available at the branches or visit our website at www.icicibank.ca or call the customer contact centre at 1-888-424-2422 for information on interest rate for early redemption, applicable charges and information regarding the GIC/Term Deposit. Please note that the GIC/Term Deposit is also subject to Account Terms and Conditions and Guaranteed Investment Certificates and Term Deposits Terms and Conditions, which are subject to change from time to time and which you may access at www.icicibank.ca or a branch. The Disclosure Statement and Terms and Conditions, as may be amended from time to time, together with this GIC Certificate, constitute an express agreement between you and the Bank with respect to the GIC/Term Deposit.

Checklist of Documents & Forms

* required

- 1* **New Business Account Request Form**
- 2* **Declaration of Tax Residency for Entity Questionnaire**
- 3* Business Entity documents as per **Attachment 3** on page 11
- 4* **Business Resolution** for Incorporated entity as per **Appendix A**
- 5* Certificate of Incumbency / Notice of change of directors / Minutes of meeting of shareholders or members of the corporation listing the names of directors / Recent corporate profile listing the name of director
- 6* Authorization for appointment of authorized individuals other than partners for partnership businesses
- 7* **Declaration of Beneficial Owners, Directors and Senior Managing Officer** for entities other than trust
OR **Declaration of Trustee, Settlers and Beneficiaries** of trust type business entity
- 8 Completed **Appendix B** request for linking external chequing account

INSTRUCTIONS:

1. **Locate the Primary Business Function below and place the cursor at the beginning of the Primary Business Function**
2. **Highlight and copy the Primary Business Function**
3. **Click on the button "Return to Application" on the right side of this page**
4. **Once back in the Application, place the cursor on the Primary Business Function field and paste it**

LIST OF PRIMARY BUSINESS FUNCTIONS FOR EACH INDUSTRY**Accounting, Tax, Legal Service**

Bookkeeping, Payroll Services
Legal services
Offices of accountants
Offices of Lawyers and Notary
Tax preparation services

Advertising and media services

Advertising services
Environmental Consulting Service
Marketing Research
Public Opinion Polling
Media Agency & Representative
Photographic services
Public relations services

Animal Production

Animal Farming and Production

Architectural/Engineering Service

Architectural services
Building inspection services
Drafting services
Engineering services
Geophysical Surveying
Geophysical Mapping Services
Industrial design services
Interior design services
Landscape Architectural Service
Specialized Design Services
Surveying (except geophysical)
Mapping (except geophysical)

Arms and Ammunition

Explosives manufacturing

Art Dealers

Art Dealers

Not For Profit Org/Association

Business associations
Civic and social organizations
Labour organizations
Other membership organizations
Political organizations
Professional organizations
Social advocacy organizations

Automobile dealers

Motorcycle, Boat Dealers
Other Motor Vehicle Dealers

Automobile dealers

New car dealers
Recreational vehicle dealers
Used car dealers

Automotive/Equipment rent/lease

Construction Equipment Rent/Lease
Transportation Equipment Rent/Lease
Mining Equipment Rent/Lease
Forestry Equipment Rent/Lease
Consumer Electronic/Appliance Rent
Consumer goods rental
General rental centres
Office Machinery Rent/Lease
Office Equipment Rent/Lease
Commercial Equipment Rent/Lease
Industrial Equipment Rent/Lease
Passenger Car Rent/Lease
Truck Rent/Lease
Utility Trailer Rent/Lease
RV Rent/Lease

Building material/supplies dealer

Building Material Supply Store
Hardware stores
Home centres
Nursery Store & Garden Centre
Outdoor power equipment stores
Paint and wallpaper stores

Business support services

Business service centres
Collection agencies
Convention/Trade Show Organizer
Credit bureaus
Document preparation services
Office administrative services
Other Business Support Service
Packaging & Labelling Service
Telephone call centres

Cannabis Related Activity

Cannabis Farming
Cannabis Product Manufacturing
Cannabis Store

Casinos and gambling

Casinos (except casino hotels)
Lotteries
Other gambling industries

Computer systems design service

Computer Systems Design
Computer related Services
Data processing/Hosting
Data Related Services
Graphic design Services
Video Game Design/Development

Construction

Building equipment Contractors
Highway/Street/Bridge
Construction
Land subdivision
Residential Building Construction
Commercial Building Construction
Industrial Building Construction
Specialty trade Contractors

Consulting services

Human Resource Consulting
Immigration Consulting Service
IT consulting Services
Management Consulting Services
Other consulting Services
Professional Services
Scientific Services
Technical Services

Dry Cleaning/Laundry Services

Dry Cleaning/Laundry Services

Educational services

Other Schools/Instruction
Athletic Instruction
Business & Secretarial Schools
Community College & C.E.G.E.P.s
Computer Training
Educational Support Services
Elementary & Secondary Schools
Fine Arts Schools
Language Schools
Professional/Mgmt Training School
Technical and Trade Schools
Universities

Employment services

Employment Placement Agencies
Executive Search Services

Employment services

Manpower Service Providers
Professional Employer
Organization

Facilities support services

Services to Building/Dwelling
Carpet and Upholstery Cleaning
Duct/Chimney Cleaning Service
Exterminating/Pest Control Service
Facilities support Services
Janitorial Services
Landscaping Services
Window cleaning Services

Farming and crop production

Farming and Crop Production

Fin Services - Brokers/agents

Financial Investment Activity
Claims Adjusters
Commodity Contract Dealing
Insurance Agency and Brokerage
Invest. Banking/Securities Dealing
Investment consulting
Miscellaneous intermediation
Mortgage/Non-mortgage Loan Broker
Credit Intermediation Activity Portfolio
management
Securities brokerage

Fin Ser - Pension/Other Funds

All Other funds
Bond and income funds
Equity funds
Money market funds
Mortgage funds
Pension funds

Financial Services - Regulated

Corporate Banking Industry
Institutional Banking Industry
Credit card issuing
Credit unions
Insurance carriers

LIST OF PRIMARY BUSINESS FUNCTIONS FOR EACH INDUSTRY CONTINUED

Financial Services - Regulated

Monetary Authorities-Central Bank
Personal/Commercial Banking
Securities/Commodity Exchanges

Financial Services-Unregulated

Miscellaneous Funds Vehicles
Miscellaneous Financial Vehicles
Cheque Cashing
Consumer lending
Currency Exchange House
Depository Credit Intermediation
Financial Transactions Processing
Clearing House Activities
Loan Arranging
Non-depository Cr. Intermediation
Sales financing
Securitization vehicles

Fishing and hunting

Fishing and hunting

Food Services

Caterers
Food service contractors
Mobile food services

Forestry and logging

Forestry and logging

Fuel dealers

Fuel dealers

Funeral services

Funeral services

Gasoline stations

Gasoline stations

Health care

Ambulance/Air Ambulance Services
Community health centres
Family planning centres
General & Speciality Hospitals
Home health care services
Medical/Diagnostic Laboratories
Nursing care facilities
Offices of Doctors
Offices of Health Practitioner

Holding/Trust/Investment Co

Holding companies
Investment Companies
Trusts

Hotels

Casino hotels
Hotels and motels
Resorts

Investigation/Security Service

Armoured car services
Investigation services
Locksmiths
Security Guard/Patrol Service
Security systems services

Libraries and archives

Libraries and archives

Manufacturing

Adhesive manufacturing
Agricultural Equipment Mfg
All other manufacturing
Animal food manufacturing
Artificial Fibre/Filament Mfg
Synthetic Fibre/Filament Mfg
Bakery products manufacturing
Battery manufacturing
Beverage manufacturing
Boat building
Building Supplies Manufacturing
Carpet and rug mills
Chemical manufacturing
Chocolate/Confectionery Manufacture
Coating/Engraving, Allied Activity
Cold/Heat Treating, Allied Activity
Coffee and tea manufacturing
Commercial Industry Machinery
Service industry Machinery Mfg
Communications Equipment Mfg
Computer Product Manufacturing
Electronic Product Manufacturing
Construction Machinery Manufacture
Cutlery/Hand Tool Manufacturing
Dairy products Manufacturing
Electrical Equipment Manufacture
Electrical Appliance Manufacture
Electronics Appliances Manufacture
Home Appliances Manufacture

Manufacturing

Engine equipment Manufacturing
Power Transmission Equipment Mfg
Fertilizer Manufacturing
Foam product Manufacturing
Food Manufacturing
Furniture/Fixtures Manufacturing
General Machinery Manufacturing
Glass product Manufacturing
Hardwood Veneer/Plywood Mills
Heating Equipment Manufacturing
Refrigeration Equipment Manufacture
Industrial gas manufacturing
Industrial Machinery Manufacture
Jewellery/Silverware Manufacture
Leather goods manufacturing
Lighting fixture manufacturing
Lime manufacturing
Machine shops
Mobile Home Manufacturing
Material Handling Equipment Mfg
Mattress manufacturing
Measuring Device Manufacturing
Medical Devices Manufacturing
Controlling Devices Manufacturing
Medical Supplies Manufacturing
Medical Equipment Manufacturing
Metal valve manufacturing
Metals/Minerals Manufacturing
Mining Field Machinery Manufacture
Oil/Gas Field Machinery Manufacture
Motor/Generator Manufacturing
Motor Vehicle Manufacturing
Motor Vehicle Part Manufacturing
Navigational Instruments Mfg
Guidance Instruments Manufacturing
Newsprint mills
Office Supplies Manufacturing
Paint & Coating Manufacturing
Paper & Cardboard Manufacturing
Paper Industry Machinery
Pesticide Manufacturing
Agricultural Chemical Manufacturing
Petrochemical manufacturing
Petroleum/Coal Product Manufacture
Pharmaceutical/Medicine Manufacture
Plastics/Rubber Products Mfg
Poultry Manufacturing/Processing
Meat Product Manufacture/Processing
Power Boiler Manufacturing
Heat Exchanger Manufacturing
Printing ink manufacturing
Pump/Compressor Manufacturing
Rubber Industry Machinery Mfg
Plastics Industry Machinery Mfg
Sawmill Machinery Manufacturing
Woodworking Machinery Manufacturing
Sawmills
Ship building and repairing
Soap, Toiletries Manufacturing
Beauty Products Manufacturing
Sporting/Athletic Goods Manufacture
Stamping
Textile Mills/Cloth Manufacturing
Tire manufacturing
Toys and game manufacturing
Transportation Equipment Mfg
Truck trailer manufacturing
Window and door manufacturing
Wood preservation
Wood product manufacturing

Mining and drilling

Mining and drilling

Mobile home dealers

Mobile home dealers

Movie/Film Production

Motion Picture/Video Distribution
Motion Picture/Video Exhibition
Motion Picture/Video Production
Other Sound Recording Industries
Post-production Picture/Video
Motion Picture/Video Industry
Record production and distribution
Sound recording studios

Museums, zoos and nature parks

Art museums and galleries
Historic and heritage sites
History and science museums
Nature Parks/Similar Institution
Other museums
Zoos and botanical gardens

Other personal services

All other personal services
Parking lots and garages
Pet Care Services
Translation/Interpretation Service

Other Traveller accommodation

All Other Traveller Accommodation
Bed and breakfast
Housekeeping Cottages & Cabins
Hunting and fishing camps
Recreational & Vacation Camps
RV Park/Campground
Rooming and Boarding Houses

Performing Artist/Sportsperson

Agents/Managers for Artists
Agents/Managers for Entertainer
Agents/Managers for Public Figure
Festivals without facilities Independent
Actors, Comedians Independent
Performers
Independent Athletes
Independent Visual Artist/Artisan
Independent Writers/Author
Theatre Presenter with Facility
Arts Presenter with facility
Arts Presenter W/O Facility
Sports Agents and Managers
Sports Presenters W/O Facility
Other Presenters W/O Facility Stadium
Presenter With Facility
Other Presenters With Facility

Performing arts companies

Dance companies
Musical Groups and Artists
Musical Theatre/Opera Company Other
Performing Arts Company Theatre
(except musical) Company

Personal care services

Barber Shops
Beauty Salons
Other personal Care Services
Unisex Hair Salons

Pipeline transportation

Pipeline transportation

Postal and courier services

Postal and courier services

Precious Metal Dealers

Jewellery Stores

Printing

Commercial Screen Printing
Digital Printing
Manifold Business Forms Printing
Other Printing
Quick Printing
Support Activities for Printing

Public administration services

Aboriginal Public Admin
Correctional Services
Courts of Law
Defence Services
Fire-fighting Services
Foreign Affairs
Immigration Services
International Assistance
Labour and Employment Services
Police services
Protective services
Public administration
Regulatory services

Publishing and broadcasting

All other information services
Book publishers
Directory/Mailing List Publisher
Internet Broadcast/Websearch Portal
Music publishers
News syndicates
Newspaper publishers
Other publishers
Pay and specialty television
Periodical publishers
Radio broadcasting
Software publishers
Television broadcasting
Video game publishers

Real Estate Leasing/Broking Ser

Lessors of Real Estate Property
Offices of Real Estate Appraiser
Activities Related to Real Estate
Real estate agents and brokers

Recreational Centres

Amusement and theme parks
Bowling centres
Fitness/Recreational Sports Centre
Fitness and sports centres
Golf courses and country clubs
Marinas

Registered Charities

Registered Charities
Religious organizations

Repair and maintenance

Appliance Repair/Maintenance
Automotive Repair/Maintenance
Car washes
Commercial Machinery-repair/maint.
Commercial Equipment-repair/maint.
Industrial Machinery-repair/maint.
Industrial Equipment-repair/maint.
Electronic Equipment-repair/maint.
Precision Equipment-repair/maint.
Footwear/Leather Goods Repair
General automotive repair
Home/Garden Equipment Automotive
Mechanical-repair/maint. Automotive
Electrical-repair/maint. Personal
Goods-repair/maint. Household Goods
Repair/Maintenance Reupholstery/
Furniture Repair

Research and development

Research and development

Residential Care Services

Child and youth case services
Community care facilities Emergency/
Other Relief Service Home for
Physically Disabled
Home for Emotionally Disturbed
Individual/Family Case Service
Residential care facilities
Substance Abuse Facility
Service for Elderly, Disabled Transition
homes for women Vocational
Rehabilitation Service

Restaurants & Drinking Places

Restaurants & Drinking Places

Retail Stores

Misc. General Merchandise Store
Miscellaneous Store Retailers
Appliance, Television Stores
Other Electronics Stores
Audio and video stores
Automotive Parts/Accessory Store
Beer/Wine-making Supply Store
Grocery and other food stores Grocery
and other food stores Camera/
Photographic Supplies Store Clothing
and Accessories Store Grocery and
other food stores Grocery and other
food stores Grocery and other food
stores Grocery and other food stores
E-Shopping/Mail-order Houses Grocery
and other food stores Furniture/Home
Furnishing Store Gift, Novelty and
Souvenir Store Grocery and other food
stores Health/Personal Care Stores
Home and auto supplies stores
Meat and seafood markets
Musical Instrument/Supplies Store
Office Supplies/Stationery Store Other
Direct Selling Establishment Pet and
pet supplies stores Pharmacies and
drug stores
Retail bakeries
Sewing Goods Stores
Needlework Goods Stores
Sporting goods stores
Used merchandise stores
Vending machine operators
Warehouse clubs

Spectator sports companies

Spectator sports companies

Taxi and Limo services

Taxi and Limo services

Telecommunications

All other telecommunications Satellite
telecommunications
Telecommunications resellers
Wired Telecommunications Carrier
Wireless Telecommunications Carrier

Testing laboratories

Testing laboratories

Tobacco Related Industry

Tobacco farming
Tobacco product manufacturing
Tobacco stemming and redrying

Transportation

Charter bus services
Passenger Transportation Service
Rail transportation
Scenic, Sightseeing Transportation
Scenic/Sightseeing, Other
School/Employee Bus Transport
Support Activity for Transport
Urban transit systems
Water transportation
Air transportation

Tours and Travel Services

Other Travel Arrangement Service
Other travel Reservation Service
Tour operators
Travel agencies

Trucking and freight services

General freight trucking
Specialized freight trucking
Used Household Goods Moving
Used Office Goods Moving

Unregistered charities

Unregistered charities

Utility services

Utility services

Veterinary services

Veterinary services

Virtual Currencies / MSB

Virtual Currencies / MSB

Warehousing and storage

Warehousing and storage

Waste Management Service

Waste Collection Services
Other Waste Management Services

Wholesale trade, Import/Export

Agricultural/Farm Supply Wholesaler
All other Merchant Wholesalers
Beverages Merchant Wholesalers
Beverages Merchant Wholesalers
Book/Periodical/Newspaper Wholesaler
Chemical/Allied Product Wholesaler
China, Glassware Wholesaler
Crockery/Pottery Wholesaler
Cigarette/Tobacco Prod. Wholesaler
Clothing and Accessories Wholesaler
Computer and Peripheral Wholesaler
Pre-packaged Software Wholesaler
Construction Supplies Wholesaler
Dairy/Milk Products Wholesaler
Electronic Component Wholesaler
Navigational Equipment Wholesaler
Navigational Supplies Wholesaler
Communications Equipment Wholesaler
Communications Supplies Wholesaler
Electronic/Home Appliance Wholesaler
Floor Covering Merchant Wholesaler
Food/Meat/Seafood Prod. Wholesaler
Footwear Merchant wholesalers
Hardware Merchant wholesalers
Home Entertainment Equip Wholesaler
Home Furnishings Wholesaler
Jewellery/Watch Wholesaler
Live Animal Merchant Wholesaler
Lumber/Plywood/Millwork Wholesaler
Machinery/Equip/Supply Wholesaler
Mineral/Ore/Metal Wholesaler
Motor Vehicle Parts Wholesaler
Motor Vehicle Accessory Wholesaler
New/Used Automobile Wholesaler
Nursery Stock/Plant Wholesaler
Oilseed/Grain Merchant Wholesaler
Paint/Glass/Wallpaper Wholesaler
Paper/Plastic Product Wholesaler
Petroleum and Products Wholesaler
Pharmaceuticals Supplies Wholesaler
Pharmacy Supplies Wholesaler
Photographic Equipment Wholesaler
Photographic Supplies Wholesaler
Poultry/Egg Merchant Wholesaler
Recyclable Material Wholesaler
Seed merchant Wholesalers
Stationery/Office Supply Wholesaler
Tire merchant Wholesalers
Toiletty/Cosmetic/Sundry Wholesaler
Toy/Hobby Goods Wholesaler
Wholesale Trade Agent/Broker

Other

Home Maker
Retired
Unemployed
Student



Date (mm-dd-yyyy): _____

Legal Name: _____

A/O Trade Name: _____

Business Address: _____

City: _____

Province: _____

Postal Code: _____

Subject: **Acknowledgement of GIC/Term Deposit Request**

DETAILS OF REQUEST	
<input type="checkbox"/> Business GIC	
➤ Amount: \$ _____	Interest Rate: _____ % Tenor: _____
<input type="checkbox"/> Business Term Deposits	
➤ Amount: \$ _____	Interest Rate: _____ % Tenor: _____
<input type="checkbox"/> Business GIC (Short Term)	
➤ Amount: \$ _____	Interest Rate: _____ % Tenor: _____ (Days)
<input type="checkbox"/> Business Term Deposits (Short Term)	
➤ Amount: \$ _____	Interest Rate: _____ % Tenor: _____ (Days)
NOTICE OF REDEMPTION (applicable for GIC/Term Deposit for less than 1 year tenor and above \$ 4,999,999.00): _____ (Days)	

We acknowledge having received your request for an account with the Bank. Please note that the above details form part of the acknowledgement of your request. If it is a GIC or Term Deposit request, a certificate with all prescribed details will be mailed to you within 7-10 business days of processing the deposit request.

Please find enclosed our current Disclosure Statement of Fees and Charges for Business Accounts (the "Disclosure Statement") for information on applicable service charges and information regarding GICs and Term Deposits. These products are also subject to the Bank's Account Terms and Conditions. You may access the Disclosure Statement and Account Terms and Conditions at www.icicibank.ca. For any clarifications with respect to the above deposit, please contact our call centre at 1-888-424-2422.

**Thank you for banking with us.
We appreciate your business. We look forward to seeing you again.**

All ICICI Bank Canada products and services are subject to terms and conditions, which are available at www.icicibank.ca or at any branch.

Attachment 2**PEP and HIO Definition**

Politically Exposed Person (PEP) is defined as a person who holds or has held one of the following offices or positions in or on behalf of a foreign or Canadian government:

- head of state or head of government;
- governor general or lieutenant governor;
- member of the executive council of government or member of a legislature;
- deputy minister or equivalent rank;
- ambassador, or attaché or counsellor of an ambassador;
- military officer with a rank of general or above;
- president of a state-owned company or a state-owned bank;
- head of a government agency;
- judge of a supreme court, constitutional court or other court of last resort;
- leader or president of a political party represented in a legislature; and
- mayor

Head of an International Organization (HIO) is a person who is either the head of:

1. an international organization established by the governments of states; or
2. of an institution established by an international organization.

An international organization is an organization set up by the governments of more than one country. Once a person is no longer the head of an international organization or the head of an institution established by an international organization, that person is no longer a HIO.

Family members – certain family members (listed below) of a PEP or a HIO are also considered as PEPs and HIOs.

- their spouse or common-law partner;
- their child;
- their mother or father;
- the mother or father of their spouse or common-law partner; and
- a child of their mother or father (sibling).

Close associate – a close associate can be an individual who is closely connected to a PEP or HIO for personal or business reasons and which includes:

- business partners with, or who beneficially owns or controls a business with, a PEP or HIO;
- in a romantic relationship with a PEP or HIO, such as a boyfriend, girlfriend or mistress;
- involved in financial transactions with a PEP or a HIO;
- a prominent member of the same political party or union as a PEP or HIO;
- serving as a member of the same board as a PEP or HIO; or
- closely carrying out charitable works with a PEP or HIO.

If you or a family member or a close associate of yours holds any of the prescribed positions then please select:

- a) **"Foreign"** – if the position is ever held in a foreign government office.
- b) **"Domestic"** – if the position is held in a Canadian (federal, provincial, territorial) government office in last 5 years.
- c) **"HIO"** – if the position of head of international organization is held currently.

Else please select **"Not Applicable"**

Attachment 3**Business Entity registration document****Note:**

- Denotes "*" is required and mandatory
- Provide documents as per the constitution of business
- Make copies of all pages, including back and front of documents for the document type viz; certificate, license, identity or any other
- The document should be most recent and un-expired
- Ensure that all copies are clear and legible
- Ensure that the photograph of identity document is visible after copying

Business Constitution: Sole Proprietorship

- 1.* Trade name registration certificate **or** Copy of your Master Business License
- 2.* If you have been in business for longer than 12 months, **one** of the copy of current documents from list below:
 - Latest Business Notice of Assessment
 - Health and Safety Certificate
 - Current utility bill **or** invoice to business
 - Current Liquor License
 - Vendor's Permit
 - Other current and valid government-issued document
3. Business Registration with Canada Revenue Agency

Business Constitution: Partnership

- 1.* Registered Declaration of Partnership **or** Partnership Agreement (where Provincial Law does not require registration)
Partnership agreement, if any
Trade name registration, if applicable
- 2.* If you have been in business for longer than 12 months, **one** of the copy of current documents from list below:
 - Latest Business Notice of Assessment
 - Health and Safety Certificate
 - Current utility bill **or** invoice to business
 - Current Liquor License
 - Business License or Vendor's Permit
 - Other current and valid government-issued document
3. Business Registration with Canada Revenue Agency

Business Constitution: Incorporated

- 1.* Articles of Incorporation / Association
- 2.* Trade name registration, if applicable
- 3.* If your business solicit donation from public, a copy of registration letter / certificate with Canada Revenue Agency
- 4.* If you have been in business for longer than 12 months, **one** of the copy of current documents from list below:
 - Certificate of Continuation or Letters Patent (depending upon the jurisdiction of incorporation)
 - Corporate Profile Report
 - Latest Financial Statements
 - Health and Safety Certificate
 - Other current and valid government-issued document
 - Certificate of Compliance
 - Annual Government Filing
 - Latest Business Notice of Assessment
 - Current Liquor License
 - Business License or Vendor's Permit
5. Business Registration with Canada Revenue Agency